

1 Bingham McCutchen LLP
WALTER M. STELLA (SBN 148215)
2 walter.stella@bingham.com
BRYAN E. DALEY (SBN 227517)
3 bryan.daley@bingham.com
Three Embarcadero Center
4 San Francisco, CA 94111-4067
Telephone: 415.393.2000
5 Facsimile: 415.393.2286

6 Attorneys for Defendants
UBS Financial Services Inc. (also sued as UBS/Paine
7 Webber) and UBS PartnerPlus Plan (erroneously sued as
UBS/Paine Webber Partner's Plus)
8

9 UNITED STATES DISTRICT COURT
10 NORTHERN DISTRICT OF CALIFORNIA
11 SAN FRANCISCO DIVISION
12

13 Alva Gene Thaning,

14 Plaintiff,

15 v.

16 UBS/Paine Webber, UBS/Paine Webber Partner's
Plus, UBS Financial Services, Inc.,

17 Defendants.
18

No. 07-5528 MJJ

**DEFENDANTS' CERTIFICATION
OF INTERESTED ENTITIES OR
PERSONS**
(Civil Local Rule 3-16; F.R.C.P. 7.1)

Pursuant to Civil L.R. 3-16 and Rule 7.1 of the Federal Rules of Civil Procedure, the undersigned certifies that the following listed persons, associations of persons, firms, partnerships, corporations (including parent corporations) or other entities (i) have a financial interest in the subject matter in controversy or in a party to the proceeding, or (ii) have a non-financial interest in that subject matter or in a party that could be substantially affected by the outcome of this proceeding:

1. Defendant UBS Financial Services Inc., (also sued as UBS/Paine Webber) (“UBSFS”) is a wholly owned subsidiary of UBS Americas Inc. UBS Americas Inc. owns 100% of the stock of UBSFS.

2. UBS Americas Inc. -- the parent company of UBSFS -- is a wholly owned subsidiary of UBS AG.

3. The following entities are subsidiaries of UBSFS: (a) Paine Webber Properties Incorporated; (b) UBS Financial Services Insurance Agency Inc.; (c) UBS Financial Services Incorporated of Puerto Rico; (d) UBS Insurance Agency of Puerto Rico Incorporated; (e) Wall Street Realty Corporation; and (f) Blyth Eastman Paine Webber Servicing Inc.

DATED: January 11, 2008

Bingham McCutchen LLP

By: /s/ Walter M. Stella
 Walter M. Stella
 Attorneys for Defendants
 UBS Financial Services Inc. (also sued as
 UBS/Paine Webber) and UBS/Paine Webber
 Partner's Plus